

09/26/01



UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

09792909-5189

First Named Inventor or Application Identifier

Shuzo Sato

Express Mail Label No: EL834557611US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 69
 2. ☒ Drawing(s) (35USC 113) Total Pages 18
 3. ☒ Declaration and Power of Attorney Total Pages 3

- a. ☒ Unexecuted(original or copy)
 b. ☐ Copy from prior application (37CFR 1.63(d))
 (for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

i. ☐ **DELETION OF INVENTOR(S)**Signed statement attached deleting
inventor(s) named in the prior

application, see 37 CFR 1.63(d)(2) and 1.33(b).

- ☐ Incorporation By Reference (usable if Box 3b is checked)
 The entire disclosure of the prior application, from which

copy of the oath or declaration is supplied under Box 3b,
 is considered as being part of the disclosure of the
 accompanying application and is hereby incorporated by
 reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
 6. ☒ Letter under 37 CFR 1.41(c).
 7. ☐ English Translation Document to follow (if applicable)
 8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
 9. ☒ Preliminary Amendment
 10. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 11. ☐ Small Entity ☐ Statement filed in prior Application,
 Statement(s) Status still proper and desired
 12. ☒ Certified copy of Japanese priority document No.
 P2000-294974 filed September 27, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS	35	15	\$18.00	270.00
INDEPENDENT CLAIMS	4	1	80.00	80.00
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
			TOTAL FEE	\$1,060.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
☒ A check in the amount of \$1,060.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
 P.O. Box #061080
 Wacker Drive Station - Sears Tower
 Chicago, IL 60606
 Telephone 312/876-8000
 Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: September 26, 2001

U-11

EXPRESS MAIL #EL834557611US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834557611US

Date of Deposit: September 26, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Shuzo Sato, Yuji Segawa, Akira Yoshio and Takeshi Nogami

Attorney Docket No. 09792909-5189

\$1,060.00 filing fee (35 claims - 4 independent)

Drawings (18 sheets - Figs. 1a-15)

Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Certified copy of Japanese priority document No. P2000-294974

Return postcard



Signature of Person Mailing Application and Fees

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West Palm Beach*

September 26, 2001

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"POLISHING METHOD AND POLISHING APPARATUS"
Applicant(s) Shuzo Sato, et al.
Attorney Docket No. 09792909-5189

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 35 claims (4 independent), 18 sheets of drawings (Figs. 1A-15) and the filing fee of \$1,060.00 on behalf of

SHUZO SATO, YUJI SEGAWA, AKIRA YOSHIO and
TAKESHI NOGAMI

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:



David R. Metzger

DVM:kat
Enclosures